

Set	Items	Description
S1	2192	((MONITOR? OR SUPERVIS? OR OVERSEE) (2N) (TRADER OR INVESTOR OR CLIENT))
S2	35463	((ASSES? OR DETERMIN? OR CALCULAT? OR ANALYZ?) (2N) (EFFECT - OR RESULT OR CONSEQUENCE OR IMPACT))
S3	58	S1 AND S2
S4	58	RD (unique items)
S5	7	S4 AND PD<20000215
File	15:ABI/Inform(R)	1971-2006/Jul 21 (c) 2006 ProQuest Info&Learning
File	9:Business & Industry(R)	Jul/1994-2006/Jul 20 (c) 2006 The Gale Group
File	623:Business Week	1985-2006/Jul 21 (c) 2006 The McGraw-Hill Companies Inc
File	810:Business Wire	1986-1999/Feb 28 (c) 1999 Business Wire
File	275:Gale Group Computer DB(TM)	1983-2006/Jul 20 (c) 2006 The Gale Group
File	624:McGraw-Hill Publications	1985-2006/Jul 21 (c) 2006 McGraw-Hill Co. Inc
?		

TEXT1

Set	Items	Description
S1	10752	((MONITOR? OR SUPERVIS? OR OVERSEE) (2N) (TRADER OR INVESTOR OR CLIENT))
S2	137045	((ASSES? OR DETERMIN? OR CALCULAT? OR ANALYZ?) (2N) (EFFECT - OR RESULT OR CONSEQUENCE OR IMPACT))
S3	74	S1 AND S2
S4	47	RD (unique items)
S5	1	S4 AND PD<20000215
File 636:Gale Group Newsletter DB(TM) 1987-2006/Jul 20 (c) 2006 The Gale Group		
File 621:Gale Group New Prod.Annou.(R) 1985-2006/Jul 19 (c) 2006 The Gale Group		
File 813:PR Newswire 1987-1999/Apr 30 (c) 1999 PR Newswire Association Inc		
File 16:Gale Group PROMT(R) 1990-2006/Jul 20 (c) 2006 The Gale Group		
File 160:Gale Group PROMT(R) 1972-1989 (c) 1999 The Gale Group		
File 148:Gale Group Trade & Industry DB 1976-2006/Jul 20 (c)2006 The Gale Group		
File 20:Dialog Global Reporter 1997-2006/Jul 21 (c) 2006 Dialog		

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FILE 12

Set Items Description
S1 261 ((MONITOR? OR SUPERVIS? OR OVERSEE) (2N) (TRADER OR INVESTOR
OR CLIENT))
S2 65065 ((ASSES? OR DETERMIN? OR CALCULAT? OR ANALYZ?) (2N) (EFFECT -
OR RESULT OR CONSEQUENCE OR IMPACT))
S3 3 S1 AND S2
S4 3 RD (unique items)
S5 0 S4 AND PD<20000215
File 35:Dissertation Abs Online 1861-2006/Jun
(c) 2006 ProQuest Info&Learning
File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13
(c) 2002 The Gale Group
File 65:Inside Conferences 1993-2006/Jul 21
(c) 2006 BLDSC all rts. reserv.
File 2:INSPEC 1898-2006/Jul W2
(c) 2006 Institution of Electrical Engineers
File 99:Wilson Appl. Sci & Tech Abs 1983-2006/Jun
(c) 2006 The HW Wilson Co.
File 473:FINANCIAL TIMES ABSTRACTS 1998-2001/APR 02
(c) 2001 THE NEW YORK TIMES
File 474:New York Times Abs 1969-2006/Jul 20
(c) 2006 The New York Times
File 475:Wall Street Journal Abs 1973-2006/Jul 20
(c) 2006 The New York Times
?

NFTEXT

Set	Items	Description
S1	36	((MONITOR? OR SUPERVIS? OR OVERSEE) (2N) (TRADER OR INVESTOR OR CLIENT))
S2	712	((ASSES? OR DETERMIN? OR CALCULAT? OR ANALYZ?) (2N) (EFFECT - OR RESULT OR CONSEQUENCE OR IMPACT))
S3	0	S1 AND S2
S4	0	RD (unique items)
S5	0	S4 AND PD<20000215
File 634:San Jose Mercury Jun 1985-2006/Jul 20		
(c) 2006 San Jose Mercury News		
File 256:TecInfoSource 82-2006/Sep		
(c) 2006 Info.Sources Inc		
?		

SOFTWARE

Set Items Description
S1 256 ((MONITOR? OR SUPERVIS? OR OVERSEE) (2N) (TRADER OR INVESTOR
 OR CLIENT))
S2 6388 ((ASSES? OR DETERMIN? OR CALCULAT? OR ANALYZ?) (2N) (EFFECT -
 OR RESULT OR CONSEQUENCE OR IMPACT))
S3 5 S1 AND S2
S4 5 RD (unique items)
S5 1 S4 AND PD<20000215
File 625:American Banker Publications 1981-2006/Jul 21
 (c) 2006 American Banker
File 268:Banking Info Source 1981-2006/Jul W3
 (c) 2006 ProQuest Info&Learning
File 626:Bond Buyer Full Text 1981-2006/Jul 20
 (c) 2006 Bond Buyer
File 267:Finance & Banking Newsletters 2006/Jul 17
 (c) 2006 Dialog
File 139:EconLit 1969-2006/Jul
 (c) 2006 American Economic Association
?

OTHER READ
705/36 DBs

Freeform Search

Database:	<div style="background-color: black; color: white; padding: 2px; margin-bottom: 2px;">US Pre-Grant Publication Full-Text Database</div> <div style="background-color: black; color: white; padding: 2px; margin-bottom: 2px;">US Patents Full-Text Database</div> <div style="background-color: white; color: black; padding: 2px; margin-bottom: 2px;">US OCR Full-Text Database</div> <div style="background-color: black; color: white; padding: 2px; margin-bottom: 2px;">EPO Abstracts Database</div> <div style="background-color: black; color: white; padding: 2px; margin-bottom: 2px;">JPO Abstracts Database</div> <div style="background-color: black; color: white; padding: 2px; margin-bottom: 2px;">Derwent World Patents Index</div> <div style="background-color: black; color: white; padding: 2px;">IBM Technical Disclosure Bulletins</div>
Term:	L7 and (broker or agent or specialist)
Display:	<div style="display: flex; align-items: center; gap: 10px;"> <div><input type="text" value="30"/> Documents in</div> <div style="border: 1px solid black; padding: 2px 5px;">Display Format:</div> <div><input type="text" value="1"/> Starting with Number</div> </div>
Generate: <input type="radio"/> Hit List <input checked="" type="radio"/> Hit Count <input type="radio"/> Side by Side <input type="radio"/> Image	

Search

Clear

Interrupt

Search History

DATE: Friday, July 21, 2006 [Printable Copy](#) [Create Case](#)

<u>Set</u> <u>Name</u>	<u>Query</u>	<u>Hit</u> <u>Count</u>	<u>Set</u> <u>Name</u> result set
	<i>DB=PGPB,USPT,EPAB,JPAB,DWPI,TDBD; PLUR=YES; OP=OR</i>		
<u>L13</u>	L12 and broker	133	<u>L13</u>
<u>L12</u>	L11 and l6	911	<u>L12</u>
<u>L11</u>	((monitor\$ or supervis\$ or oversee\$) near3 (trader or investor or client))	7355	<u>L11</u>
<u>L10</u>	((monitor\$ or supervis\$ or oversee\$) near3 (day adj trader))	1	<u>L10</u>
<u>L9</u>	((monitor\$ or supervis\$ or oversee\$) near3 (day adj trader)) same broker	0	<u>L9</u>
<u>L8</u>	L7 and (broker or agent or specialist)	311	<u>L8</u>
<u>L7</u>	L6 and ((asset or investment or stock or bond or security or rebalanc\$) near3 (allocat\$ or distribut\$ or portfolio))	405	<u>L7</u>
<u>L6</u>	l5 and ((asses\$ or determin\$ or calculat\$ or analyz\$) near2 (effect or result or consequence or impact or change))	3549	<u>L6</u>
<u>L5</u>	(interced\$ or monitor or interfer\$ or cancel\$ or stop\$ or interven\$ or moderat\$ or overerid\$ or supervis\$) near4 (trad\$ or investor or transaction or (day adj trader) or client)	22646	<u>L5</u>
<u>L4</u>	6408282.pn.	2	<u>L4</u>
<u>L3</u>	705/36r.cor. and @pd>20060106	22	<u>L3</u>

Freeform Search

Database:	<div style="border: 1px solid black; padding: 2px;"> US Pre-Grant Publication Full-Text Database US Patents Full-Text Database US OCR Full-Text Database EPO Abstracts Database JPO Abstracts Database Derwent World Patents Index IBM Technical Disclosure Bulletins </div>
Term:	<div style="border: 1px solid black; height: 40px; width: 500px;"></div>
Display:	<div style="border: 1px solid black; width: 50px; text-align: center;">30</div> Documents in Display Format: <div style="border: 1px solid black; width: 50px; text-align: center;">CIT</div> Starting with Number <div style="border: 1px solid black; width: 50px; text-align: center;">1</div>
Generate:	<input type="radio"/> Hit List <input checked="" type="radio"/> Hit Count <input type="radio"/> Side by Side <input type="radio"/> Image

Search

Clear

Interrupt

Search History

DATE: Friday, July 21, 2006 [Printable Copy](#) [Create Case](#)

Set
Name Query
 side by
 side

Hit
Count Set
 Name
 result set

DB=USPT,PGPB; PLUR=YES; OP=OR

L3 ('3573747'| '5809483'| '5873071'| '5924082'| '6014643'| '5950177'|
 '6012046'| '6243691')![pn]

DB=PGPB,USPT,EPAB,JPAB,DWPI,TDBD; PLUR=YES; OP=OR

L2 6408282[uref]

L1 6408282.pn. - **BUIST**

8 L3 Bckwd

18 L2 Fwd
2 L1

END OF SEARCH HISTORY